GRAPH PROCESSOR FOR A HARDWARE DATABASE MANAGEMENT SYSTEM

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5   **GRAPH PROCESSOR FOR A HARDWARE**
       DATABASE MANAGEMENT SYSTEM

       TECHNICAL FIELD OF THE INVENTION

10   The present invention relates to processor engines that manipulate database structures and

to database structures for storing, searching and retrieving data.

       BACKGROUND OF THE INVENTION

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       The term database has been used in an almost infinite number of ways. The most

common meaning of the term, however, is a collection of data stored in an organized fashion.

Databases have been one of the fundamental applications of computers since they were

introduced as a business tool. Databases exist in a variety of formats including hierarchical,

20   relational, and object oriented. The most well known of these are clearly the relational

databases, such as those sold by Oracle, IBM and Microsoft. Relational databases were first

introduced in 1970 and have evolved since then. The relational model represents data in the

form of two-dimensional tables, each table representing some particular piece of the information

stored. A relational database is, in the logical view, a collection of two-dimensional tables or

25   arrays.

       Though the relational database is the typical database in use today, an object oriented

database format, XML, is gaining favor because of its applicability to network, or web, services

and information. Objected oriented databases are organized in tree structures instead of the flat

arrays used in relational database structures. Databases themselves are only a collection of

30   information organized and stored in a particular format, such as relational or object oriented. In

order to retrieve and use the information in the database, a database management system

("DBMS") is required to manipulate the database.

       Traditional databases suffer from some inherent flaws. Although continuing

improvements in server hardware and processor power can work to improve database

35   performance, as a general rule databases are still slow. The speeds of the databases are limited

by general purpose processors running large and complex programs, and the access times to the

5   disk arrays. Nearly all advances in recent microprocessor performance have tried to decrease the time it takes to access essential code and data. Unfortunately, for database performance, it does not matter how fast a processor can execute internal cycles if, as is the case with database management systems, the primary application is reading or modifying large and varied numbers of locations in memory.

10   Also, no matter how many or how fast the processors used for databases, the processors are still general purpose and must use a software application as well as an operating system. This architecture requires multiple accesses of software code as well as operating system functions, thereby taking enormous amounts of processor time that are not devoted to memory access, the primary function of the database management system.

15   Beyond server and processor technology, large databases are limited by the rotating disk arrays on which the actual data is stored. While many attempts have been made at great expense to accelerate database performance by caching data in solid state memory such as dynamic random access memory, (DRAM), unless the entire database is stored in the DRAM the randomness of data access in database management system means misses from the data stored in

20   cache will consume an enormous amount of resources and significantly affect performance. Further, rotating disk arrays require significant time and money be spent to continually optimize the disk arrays to keep their performance from degrading as data becomes fragmented.

All of this results in database management systems being very expensive to acquire and maintain. The primary cost associated with database management systems are initial and

25   recurring licensing costs for the database management programs and applications. The companies licensing the database software have constructed a cost structure that charges yearly license fees for each processor in every application and DBMS server running the software. So while the DBMS is very scalable the cost of maintaining the database also increased proportionally. Also, because of the nature of the current database management systems, once a

30   customer has chosen a database vendor, the customer is for all practical purposes tied to that vendor. Because of the extreme cost in both time, expense and risk to the data, changing database programs is very difficult, this is what allows the database vendors to charge the very large yearly licensing fees that currently standard practice for the industry.

The reason that changing databases is such an expensive problem relates to the

35   proprietary implementations of standardized database languages. While all major database

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5   programs being sold today are relational database products based on a standard called Structured

Query Language, or SQL, each of the database vendors has implemented the standard slightly

differently resulting, for all practical purposes, in incompatible products. Also, because the data

is stored in relational tables in order to accommodate new standards and technology such as

Extensible Mark-up Language ("XML") which is not relational, large and slow software

10   programs must be used to translate the XML into a form understandable by the relational

products, or a completely separate database management system must be created, deployed and

maintained for the new XML database.

One way to overcome the limitations of traditional software databases would be to

implement a database management system capable of performing basic database functions

15   completely in hardware. To get the full benefit from a hardware implementation, however, the

data itself would need to be stored in random access memory ("RAM") instead of on rotating

disks, and a data structure optimized for hardware processing would need to be developed.

Accordingly, what is needed is a graph engine and data structure for a hardware database

management system.

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5 **SUMMARY OF THE INVENTION**

The present invention provides for a graph engine and data structure for a database management engine implemented entirely in hardware. The graph engine is operable to manipulate, such as reading, writing and altering, the information in the database. The graph

10 engine is also operable to create and maintain the data structure used to store the information contained in the database.

The graph engine is formed by a context engine, a read engine and a write engine. The context engine is operable to process cells, each cell including a header and a payload, containing instructions for accessing the database memory, and to send read commands to the

15 data base memory to read the contents of a memory location to be compared to the search object. The read engine compares the differential bits of the search object and the contents of the database memory and returns results based on the comparison. The results can be either additional addresses to locations in memory to be matched against subsequent search objects or can be data from the database. The write engine is operable to write new information into the

20 database by first using the read engine to determine the location of the first differential bit between the contents of the database and the information to be written. Once the first differential bit has been identified the write engine inserts the new information by creating a new branch node beginning at the first differential bit.

The data structure in the database created and accessed by the graph engine is in the form

25 of graphs made up of individual sub-trees. Each sub-tree begins at a location in memory identified by a root tree address. The sub-tree then contains tree i.d. information and profile information about the nature and contents of the sub-tree. After the profile information the sub-tree branches into the search strings, or differential bits that identify the information in the sub-tree. Each branch in the search strings ends in a result that can be any useful information

30 including a pointer to a new root tree address, a function call, or actual data in the database. The sub-trees may point to the root address of many other sub-trees in the database resulting in the graph nature of the database structure.

Further a method of manipulating data in a database is described that includes passing a search object and a location in memory to the context engine of the graph engine. The method

35 then reads the information at the location in memory, and uses the read engine to compare the

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5   search object to the information from the database memory. The method further accesses

locations in memory as a result of the comparison and further compares the search object to the

information in memory. Finally, the method returns a result from the database based on the

comparisons.

       The foregoing has outlined, rather broadly, preferred and alternative features of the

10   present invention so that those skilled in the art may better understand the detailed description of

the invention that follows. Additional features of the invention will be described hereinafter that

form the subject of the claims of the invention. Those skilled in the art will appreciate that they

can readily use the disclosed conception and specific embodiment as a basis for designing or

modifying other structures for carrying out the same purposes of the present invention. Those

15   skilled in the art will also realize that such equivalent constructions do not depart from the spirit

and scope of the invention in its broadest form.

5 **BRIEF DESCRIPTION OF THE DRAWINGS**

For a more complete understanding of the present invention, reference is now made to the following descriptions taken in conjunction with the accompanying drawings, in which:

Figure 1 illustrates a database management system using the graph processor of the

10 present invention;

Figure 2 illustrates an example of a context data block for use with the graph processor of the present invention;

Figure 3 illustrates an example of a sub-tree data structure in accordance with the present invention;

15 Figure 4 illustrates multiple sub-tree data structures forming a database data structure in accordance with the present invention; and

Figure 5 illustrates a block diagram a graph processor in accordance with the present invention.

5   **DETAILED DESCRIPTION OF THE DRAWINGS**

Traditional databases use well defined data structures that have existed in the computer

industry for decades. The most well known data structure is the one used by relational databases

where data is stored in tables comprised of multiple columns and rows, the data being stored is

10   identified by specifying the table, row, and column. Tables, in relational databases, can be

nested, or reference other tables, eliminating much of the need for multiple copies of data to exist

in a single database and allowing more data to be stored in the available storage media, usually

rotating disks. The other primary data structure in use is the simple binary tree structure used by

extensible markup language ("XML") databases. Binary tree structures store information in a

15   tree structure where information is accessed by following the appropriate branches in the tree.

Each of these structures has been developed for use with the particular software programs

that interact with the database structures. Moving database functionality from a software

program running on an operating system running on a general purpose server, to a fully hardware

database management system ("DBMS") results in a new data structure for the database to best

20   implement the hardware DBMS. This new database structure should be protocol independent to

allow the hardware DBMS to process both relational and binary protocols without needing to

resort to translation programs to convert the binary protocol into a relational protocol or vice

versa. Further the database needs to be stored in RAM instead of on disk arrays as with

traditional databases. This allows for much quicker access times than with a traditional database.
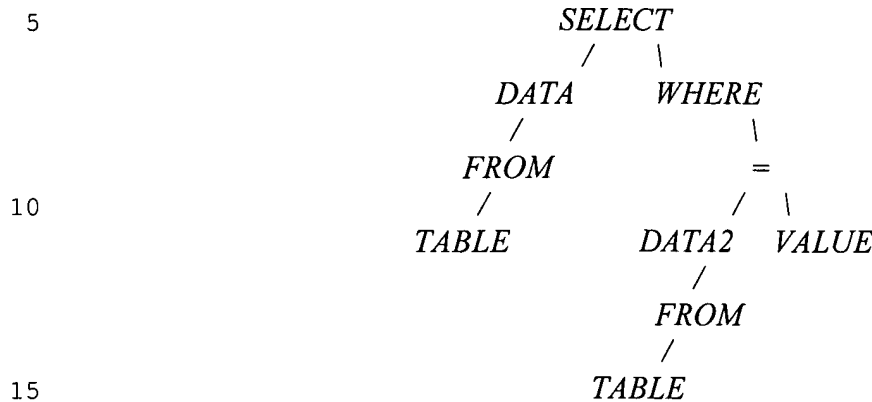
25   Instead of storing data in the table format used by the relational databases, the graph

engine and data structure of the present invention stores data in a graph structure where each

entry in the graph stores information and/or information about subsequent entries. The graph

structure of the database provides a means for storing the data efficiently so that much more

information can be stored than would be contained in a comparable disk array using a relation

30   model. One such structure for a database, which along with other, broader, graph structures

maybe used in the present invention, is described in U.S. Patent No. 6,185,554 to Bennett, which

5   is hereby incorporated by reference. The memory holding the database can contain multiple

banks of RAM and that RAM can be co-located with the graph engine, can be distributed on an

external bus, or can even be distributed across a network.

Referring now to Figure 1, a data flow engine implementing a database management

system using the graph processor of the present invention is shown. Data flow engine 10 is

10   formed by parser 12, execution tree engine14, and graph processor 18. Parser 12 acts to break

down statements, such as SQL statements or XML statements, into executable instructions and

data objects associated with these units. The parser takes each new statement and identifies the

operators and their associated data objects. For example, in the SQL statement *SELECT DATA*

FROM TABLE WHERE DATA2 = VALUE, the operators *SELECT, FROM, WHERE*, and = are

15   identified as operators, while *DATA, TABLE, DATA2*, and *VALUE*, are identified as data object.

The operators are then converted into executable instructions while the data objects are

associated with their corresponding operator and stored in memory. When the parser is finished

with a particular statement, a series of executable instructions and links to their associated data

are sent to execution tree engine 14 for further processing.

20   Once the executable instructions and data objects are ready to be processed, execution

tree engine validates that the executable instructions are proper and valid. Execution tree engine

14 then takes the executable instructions forming a statement and builds an execution tree, the

execution tree representing the manner in which the individual executable instructions will be

processed in order to process the entire statement represented by the executable instructions. An

25   example of the execution tree for the SQL statement *SELECT DATA FROM TABLE WHERE*

DATA2 = VALUE can be represented as:

```
                            SELECT
                            /    \
                       DATA      WHERE
                       /             \
                    FROM              =
                    /              /   \
                 TABLE         DATA2   VALUE
                                 /
                              FROM
                              /
                           TABLE
```

The execution tree once assembled would be executed from the elements without dependencies toward the elements with the most dependencies, or from the bottom up to the top in the example shown. Branches without dependencies on other branches can be executed in parallel to make handling of the statement more efficient. For example, the left and right branches of the example shown do not have any interdependencies and could be executed in parallel.

Execution tree engine 14 takes the execution trees and identifies those elements in the trees that do not have any interdependencies and schedules those elements of the execution tree for processing. Each element contains within it a pointer pointing to the location in memory where the result of its function should be stored. When each element is finished with its processing and its result has been stored in the appropriate memory location, that element is removed from the tree and the next element is then tagged as having no interdependencies and it is scheduled for processing by execution tree engine 14. Execution tree engine 14 takes the next element for processing and waits for a thread in execution units 16 to open.

Execution units 16 act to process the individual executable instructions, with their associated data objects. Execution units 16 perform numerical, logical, and other complex functions required by the individual instructions that do not require access to the data in the database. For example, execution units 16 perform string processing and floating point function, and are also able to call routines outside of dataflow engine 10. Execution units 16 are also able

5   to send instructions and their associated data to graph processor 18 whenever an instruction

requires manipulating the database, such as performing read, write, alter or delete functions to

the data in the database.

Executable instructions or function calls that require access to the entries in the database

are sent to graph processor 18. Graph processor 18 includes context handling 20 and graph

10   engine 22. Context handling 20 schedules the multiple contexts that can be handled by graph

engine 22 at one time. In the current embodiment of the graph engine up to 64 individual

contexts, each associated with a different statement or function being processed, can be

processed or available for processing by graph engine 22.

Graph processor 18 provides the mechanisms to read from, write to, and alter the

15   database. The database itself is stored in database memory 24 which is preferably random access

memory, but could be any type of memory including flash or rotating memory. In order to

improve performance as well as memory usage, the information contained in the database is

stored in memory differently than traditional databases. Traditional databases, such as those

based on the SQL standard, are relational in nature and store the information in the databases in

20   the form of related two-dimensional tables, each table formed by a series of columns and rows.

The relational model has existed for decades and is the basis for nearly all large databases. Other

models have begun to gain popularity for particular applications, the most notable of which is

XML which is used for web services and unstructured data. Data in XML is stored in a

hierarchical format which can also be referred to as a tree structure.

25   The database of the present invention stores information in a data structure unlike any

other database. The present invention uses a graph structure to store information. In the well

known hierarchical tree structure there exists a root and then various nodes extending along

branches from the root. In order to find any particular node in the tree one must begin at the root

and traverse the correct branches to ultimately arrive at the desired node. Graphs, on the other

30   hand, are a series of nodes, or vertices, connected by arcs, or edges. Unlike a tree, a graph need

not have a specific root and unique branches. Also unlike a tree, vertices in a graph can have

5   arcs that merge into other trees or arcs that loop back into the same tree.

In the case of the database of the present invention the vertices are the information represented in the database as well as certain properties about that information and the arcs that connect that vertex to other vertices. Graph processor 18 is used to construct, alter and traverse the graphs that store the information contained in the database. Graph processor 18 takes the

10   executable instructions that require information from, or changes to, the database and provides the mechanism for creating new vertices and arcs, altering or deleting existing vertices or arcs, and reading the information from the vertices requested by the statement being processed.

The graphs containing the database are stored in database memory 24. Database memory 24 can be either local to data flow engine 10 or can be remote from data flow engine 10 without

15   affecting its operation.

Referring now to Figure 2, an example of a context data block is shown. Block 30 includes header 32 and data payload 34. Header 32 includes information on the type of data in the cell, the action to be taken by the cell, and the structure of the instruction used by the cell. The type of data in the cell is represented by the 4 bit data instances shown by T0 through T5.

20   The type of data in the cell could be many things including alpha numeric strings, address pointers, floating point numbers, etc. The action to be taken by the cell is in the form of a sub-instruction shown by 7 bit instances SI0 through SI4. The sub-instruction data tells the graph processor what to do with the data block. The instruction structure is shown by 5 bit instance IPS which lets the sub-instructions be formatted in different ways with the bits of the IPS

25   instance informing the graph engine which format the sub-instruction is in.

The remaining six 32 bit words contain the data for the graph engine to work with. As stated the data can be any number of types of data as designated by the data type in the header. While context data block 30 has been shown with reference to particular bit structures, one skilled in the art will recognize that different structures of the data block could be implemented

30   without affecting the nature of the current invention.

Referring now to Figure 3, an example of a sub-tree data structure is shown. The data in

5   the database created and manipulated by graph processor 18 from Figure 1 is stored in a data

structure different than the data structures used by conventional relational or XML databases.

The data in present invention is stored in multiple interconnected sub-tree structures such as sub-

tree structure 50. Sub-tree structure 50 includes four components: tree i.d., or symbol 54, profile

data 56, signature strings, or differential bits 62, and results strings 64. Each sub-tree has a root

10   tree address that provides entry into the sub-tree. At the beginning of each sub-tree, after tree i.d.

54, a set of data is stored which provide information about the tree itself. This information

allows graph processor 18 from Figure 1 to be very efficient in searching the tree, using the

available memory, and providing security to the information stored in the database. This

information, the profile data 56, can include any information that would increase the utility or

15   efficiency of the graph processor, including such information as the type of data being stored in

the tree, i.e. character strings, urls, functions, floating point number, integer, etc. Other

information that would normally be included in profile data 56 is the cardinality, or number of

entries, of the tree, and locking information, used when access to the tree needs to be limited.

        After the profile data the tree includes the search strings 62, or differential bits, shown as

20   blocks DIFF. An input string, which is the object that the graph processor is matching to is

compared with the search string of the sub-tree. Using the search string with the input string an

address is formed that leads to the location in memory of the next search string. Each sub-tree is

traversed in this manner by taking an input string together with a search string from the tree and

using these to move to a location in memory. At the end of each branch of search strings 62 in

25   sub-tree 50 are results 64. Results for a sub-tree can either be the actual data from the database

to be returned, or it can be other functional information for the graph processor. Such functional

information includes things like address pointers to other sub-trees in the database, either

because the data is being accessed through multiple layers, such as nested tables in relational

databases, or because the differential bit portion 62 of sub-tree 50 became too large requiring the

30   use of multiple sub-trees to accommodate the search strings. In the latter case, the result would

be the root tree address of the sub-tree continuing the search string match. Other functional

5   information would include calls to functions outside the graph processor, such as the floating

point processor, or calls to external routines outside the data flow engine.

Referring now to Figure 4, an example of a graph data structure formed by multiple sub-

trees is shown. Graph 70 is a representation of relational data stored in a data structure according

to the present invention. Part of the data represented in graph 70 is shown in a traditional

10   relational table format in First_Table 72. Each of the sub-trees includes root tree address 82,

tree i.d. and privilege information 76, bit test 78 and results 80. As described with reference to

Figure 3, an input string 74 can be inputted to a sub-tree and a differential bit test determines

matches for the input string.

To illustrate the operation of the graph data structure represented by graph 70, a search

15   operation, such as an SQL select statement, requesting information from First_Table 72 on

employees with the first name Sam will be followed as it traverses the sub-trees. Root tree

address First Table_Address identifies the location memory of sub-tree First Table. Input string

EMP is compared to the differential bit test portion of table First Table, and returns the result

EMP_Addr. Result EMP_Addr is a pointer to root address EMP_Addr, which identifies the

20   location in memory of sub-tree EMP. Using the sub-tree EMP, input string First Name, is

compared to the differential bit test portion of table EMP, returning the result First Name_Addr.

Result First Name_Addr again is a pointer to root address First Name_Addr for sub-tree First

Name. Similarly, input string SAM is then inputted to sub-tree First Name, and returns the

pointer Sam_Addr, which is the root address of sub-tree Sam. The graph engine can then read

25   the results of sub-tree Sam, shown as results Row-1, and Row-3 which hold the data in table

First_Table related to employees named Sam.

From the example above it can be seen how the graph engine is operable to 'walk' the

sub-trees to access data in the database. Writing and altering the database is exactly the same as

the read function, with the data being written to the memory instead of being read. The writing

30   of information to the database will be discussed further with reference to Figure 5.

Referring now to Figure 5, a block diagram of the graph engine is shown. Graph engine

5   100 is a pipelined engine with each stage 102 of the pipeline performing corresponding to a

particular operation or operations. Cells, in the form of context data block 30 from Figure 2, are

sent to graph engine 100 from execution units 16 from Figure 1 through context handling 20, or

are returned from memory 24 from Figure 1 for further processing, as will be described. Each

cell enters context engine 104 of graph engine 100 at state IN of pipeline stages 102. Context

10   engine 104 maintains the state for each of the cells being processed by graph engine 100 by

setting up the appropriate information from the cells in the appropriate registers within the graph

engine. It may take several cells for the graph engine to receive all the necessary information to

begin accessing the database. For example, one cell may contain the root tree address to be used

as the starting point in a read from the database, and a second cell may be required to pass the

15   argument, or search object to be processed. Further, it may require more than one access to the

tree to process an argument.

Cells can pass back and forth between the graph engine and memory multiple times to

execute a single instruction in a context block. Once context block may pass between the graph

engine and memory multiple times to 'walk' the graph and sub-trees in memory, as described

20   with reference to Figure 4. For read functions, argument engine 106 and command engine 108

are loaded with the search object and read command. The thread information is saved and a cell

is issued to read from the database memory at the root address for a new read or from the last

address pointer for a continuing read. The contents of the memory location are returned in the

data portion of the cell and sent to read engine 110 where the differential bits of the argument, or

25   search object are compared to the contents of the data location. This differential bit comparison

continues, possibly with additional accesses to the database memory to retrieve additional data

for comparison, until a result from the comparison is reached. This result, as is described with

reference to Figures 3 and 4 can be the actual data from the database, or can be a pointer to

another sub-tree. If the result is actual data from the database the graph engine can either do a bit

30   for bit comparison to check for exact matches between the data and the search object, or can

return some amount of data from the database that corresponds to the search object as is required

5　by the particular instruction. For example, the graph engine could check to see if there is an

exact entry for Sam Houston in the employee database, or it could return all entries with a first

name beginning with the letters sam.

　　　The write engine 112 operates similarly to the read function, but requires two steps to

perform the write to the database memory. The first step uses the read engine 110 to perform a

10　read from the database as described above. In the case of a write, however, the read functions to

find the first differential bit between the search object and the contents of the database, in other

words the first place where there is a difference between the search object and the data existing

in the database. Once this point is found write engine 112 inserts a new node at the differential

point and writes the appropriate data into the memory to form a new branch or even new sub-tree

15　as required to add the information. As with the read, it will take many passes between the graph

engine and database memory to write information into the database.

　　　When an instruction is completed, graph engine 100 uses free memory acknowledgement

114 to indicate that the thread is complete and can release the cells being used back into the free

cell list for use by another or new thread or instruction. Delete engine 116 deletes any residual

20　information from the cells that have been released.

　　　Although particular references have been made to specific protocols, implementations

and materials, those skilled in the art should understand that the database management system

can function independent of protocol, and in a variety of different implementations without

departing from the scope of the invention in its broadest form.

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